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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BADEM re Application of: HELLBUSCH, et al.

Application No.: 10/696,163

Group Art Unit: 2157

Filed: October 29, 2003

Examiner: Not Yet Assigned

Title: AN ENTERLINK FOR PROVIDING A FEDERATED BUSINESS TO BUSINESS

SYSTEM THAT INTERCONNECTS APPLICATIONS OF MULTIPLE

**COMPANIES** 

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with all papers or documents referred to therein as being attached or enclosed is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 25,2004

MAIL STOP MISSING PARTS COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450** 

#### PETITION UNDER 37 C.F.R. § 1.47(a) TO PROCEED WITHOUT THE SIGNATURE OF HARSH WARDHAN

Sir:

This is a petition for acceptance of the above-identified application, which is being filed without the signature of a joint inventor who has refused to join in the application for patent. The present application is being made by Mark H. Hellbusch, Bryon K. Propst, Girish U. Balsavar and Robert G. Schaefer on behalf of themselves and the non-signing joint inventor,

Harsh Wardhan. Submitted herewith is:

02/27/2004 JBALINAN 00000093 10696163

02 FC:1460

130.00 OP

- A. Declaration of Karen Cramer containing the statement of facts in support of Petition under 37 C.F.R. 1.47(a);
- B. Copy of email correspondence from Karen Cramer to Harsh Wardham dated September 24, 2003;
- C. Copy of email correspondence from Karen Cramer to Harsh Wardham, and from Harsh Wardham to Karen Cramer dated October 1, 2003;
- D. Copy of email correspondence from Karen Cramer to Harsh Wardham dated February 20, 2004;
- E. Combined Declaration and Power of Attorney form signed by Mark H. Hellbusch, Bryon K. Propst, Girish U. Balsavar and Robert G. Schaefer; and
  - F. A check for \$130 in payment of the petition fee required under 37 C.F.R. 1.17(h).

The accompanying Declaration of Karen Cramer, along with the supporting documentary evidence submitted herewith, establishes the diligent effort made to secure the execution of the application Declaration by the non-signing inventor, Mr. Wardhan. Specifically, Mr. Wardhan was provided with a complete copy of the application, including the specification, claims and figures, and a Combined Declaration and Power of Attorney form to sign, and Mr. Wardhan has refused to sign the Combined Declaration and Power of Attorney form provided to him.

Therefore, a request is hereby made to grant this Petition to proceed without the signature of Harsh Wardhan.

Mr. Wardhan's last known residence address is 7979 Westheimer #1705, Houston, TX 77063.

Please apply any additional charges or credits to Deposit Account No. 50-1721.

Ml (Reg. No 48,811)

Respectfully submitted,

Stephen C. Clazier

Reg. No. 31,361 (202) 778-9045

ate: February 23, 2004

Kirkpatrick & Lockhart LLP 1800 Massachusetts Ave., NW 2nd Floor

Washington, DC 20036

(202) 778-9000

Fax: (202) 778-9100



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MAIL STOP PETITION COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

#### <u>DECLARATION OF KAREN CRAMER</u> IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47(a)

- I, Karen Cramer, hereby declare:
- 1. I am employed by Reynolds and Reynolds Holdings, Inc. and currently hold the title of Manager Intellectual Property and Assistant Secretary.
- 2. Harsh Wardhan is a joint inventor of the above-identified patent application. Mr. Wardhan is a former employee of Reynolds and Reynolds Holdings, Inc. of Moraine, Ohio, the Assignee of the present application.
- 3. All the joint inventors of the present application, including Harsh Wardhan, have assigned to the Assignee "the full and exclusive right, title and interest" in the present invention.

In particular, the assignment document for Mr. Wardhan was recorded in the U.S. Patent and Trademark Office on December 21, 2000, at reel 011402, frame 0599. As such, the joint inventors have no remaining property interest in the present application.

- 4. The current application is a continuation of U.S. Application No. 09/761,834, filed January 18, 2001, which claims priority of U.S. Application No. 09/741,008, filed December 21, 2000, and claims priority of U.S. Provisional Application No. 60/176,625, filed January 19, 2000. All of the inventors, including Harsh Wardhan, of U.S. Application No. 09/741,008 were provided with a complete copy of the parent application, including the specification, claims and figures, and all of the inventors signed the declaration.
- 5. On September 24, 2003, I informed Harsh Wardhan via e-mail that a continuation application was being filed on the parent application. I provided him with a copy of the Combined Declaration and Power of Attorney and requested that he sign it. At some point, I sent a complete copy of the present application, including the specification, claims and figures to Harsh Wardhan via e-mail.
- 6. On October 1, 2003, I received a number of e-mails from Harsh Wardhan, a.k.a.,
  Harsh Gupta and sent a number of e-mails to same. Specifically, I asked Mr. Wardhan if his last
  name changing from Wardhan to Gupta was informal or legal, and if legal, requested that the
  name change papers be provided along with the declaration. Mr. Wardhan/Gupta responded that
  the name change was legal, but he has provided us with no documentary proof to date. In
  addition, Mr. Wardhan stated that he needed to check with his current organization before
  signing the declaration, but would get back to me as soon as possible.
- 7. On February 20, 2004, I again provided a copy of the present application and Combined Declaration and Power of Attorney to Harsh Wardhan via e-mail. I requested that Mr.

Wardhan sign the Combined Declaration and Power of Attorney or state that he was unwilling to sign.

- 8. Since October 1, 2003, I have not received the signed declaration or received any further e-mail correspondence from Harsh Wardhan.
- 9. The last known address of Harsh Wardhan is 7979 Westheimer #1705, Houston, TX 77063.
- 10. The undersigned hereby declares that all statements made herein of her own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1008 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

Reynolds and Reynolds Holdings, Inc.

Karen Cramer

Manager Intellectual Property and Assistant Secretary

### REDACTED









----Original Message----

From: Cramer, Karen L

Sent: Friday, February 20, 2004 9:26 AM

To: 'Gupta, Harsh'

Subject: Patent Application

· Importance: High

#### Harsh:

I haven't heard from you since October 1. 2003 and I wanted to send these documents to you one more time. We are at the deadline to file. Please confirm if you can sign or if not, I would appreciate your confirmation of that also.

Take care, Karen

Karen Cramer
Manager of Intellectual Property
karen\_cramer@reyrey.com
937-485-2793 phone
937-485-2786 fax

This communication is confidential and may be legally privileged. This communication (and all attachments) is intended for the use of the addressee named above. If you have received this communication in error, please notify the sender immediately by email, then delete the communication from your system without copying or using the communication for any purposes or disclosing its content

#### Cramer, Karen L

From:

Cramer, Karen L

Sent:

Wednesday, September 24, 2003 10:58 AM

Ta:

'Harsh\_Wardham@AIMFUNDS.com'

Cc:

'Swapna@pol.net'

Subject

FW: from Steve

Importance: High

#### Dear Harsh:

I am not sure it you remember me, but I handle the patents for Reynolds. You are an inventor on the "Performance Path" patent. That patent has not issued yet, but Reynolds has another patent named "Entellink" that should be granted soon.

Our palent counsel wants to file a continuation on Enterlink that includes Performance Poth, to try to get the "Performance Poth" dalms sooner. To do this, we need all inventors to sign a Doctaration.

I have attached the Declaration and if you could sign and return to me, I would appropriate it.

Thanks. Karon Crems" 3555 S. Kettering Bivd. Dayton, Ohio 45439 937-485-7753 (phone) 937-485-2785 (fax)

## REDACTED

Message

Cramer, Karen L

Gupta, Harsh (Harsh, Gupta@aiminvestments, com) From:

Wednesday, October 01, 2003 2:56 PM Sent:

Cramer, Karen L To: Subject: RE: from Stave

Karen

I am working with my current organization to see if they have concerns regarding this. Since I am working in a capacity where I have to get everything cleared by my organization.

Let you Know ASAP

----Original Message----From: Cramer, Karen L [mailto:karen\_cramer@reyrey.com]

Sent: Wednesday, October 01, 2003 1:34 PM

To: 'Gupta, Harsh' Subject: RE: from Steve

Herch:

I have all of the other inventors Dardarations. Are you going to be able to sign?

Thanks. Karen

> ----Original Message- · · · From: Gupta, Harsh [mailto:Harsh.Gupta@eminvestments.com]

Sent: Wednesday, October 01, 2003 10:43 AM

To: Cramer, Karon L Subject: RE: from Steve

It is legal.

-Original Message--From: Cramer, Karen L [mailto:karen\_cramer@reyrey.com]

Sent: Wednesday, October 01, 2003 9:38 AM

To: 'Gupta, Harsh' Subject: RE: from Steve

Harsh.

## REDACTED

I hope that helps. I do have a question shout your name change. Is it a legal or an informal change. If it is a logal change we would need to include the name change papers along with the document.

t appreciate you taking time to look at this.

# REDACTED